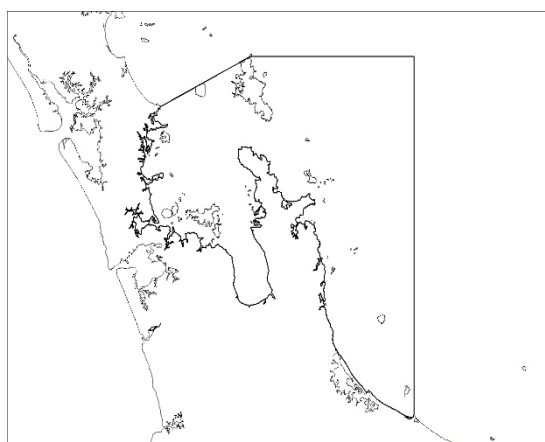


SCALLOPS COROMANDEL (SCA CS)

(*Pecten novaezelandiae*)
Kuakua, Tipa

**1. FISHERY SUMMARY**

The Coromandel scallop fishery is a regionally important commercial fishery and runs in the area between Cape Rodney, Leigh in the north and Town Point near Tauranga in the south. Coromandel scallops (SCA CS) were introduced into the QMS on 1 April 2002, with a TAC of 48 t; following a review of the TAC in 2012–13 (Ministry for Primary Industries 2013a), on 1 April 2013 the TAC was changed to 131 t, comprising a TACC of 100 t, allowances of 10 t for recreational and customary fisheries, and an allowance of 11 t for other sources of mortality (Table 1; values all in meatweight (muscle plus attached roe)).

Table 1: Total Allowable Commercial Catch (TACC, t) declared for SCA CS since introduction into the QMS.

Year	TAC	Customary	Recreational	Other Mortality	TACC
2002 to 2012	48	7.5	7.5	11	22
2013	131	10	10	11	100

1.1 Commercial fisheries

Commercial fishing is conducted within a number of discrete beds around Little Barrier Island, east of Waiheke Island (though not in recent years), at Colville, north of Whitianga (to the west and south of the Mercury Islands), and in the Bay of Plenty (principally off Waihi, and around Motiti and Slipper Islands). In 2011, fishers discovered that a large area of the Hauraki Gulf contained good densities of large scallops, which supported a large proportion of the fishing during from 2011 to 2013. This new, deeper (45–50 m water depth) region of the fishery lies mainly within statistical reporting area 2W and a smaller portion in 2S, and was surveyed for the first time in 2012. All commercial fishing is by dredge, with fishers preferring self-tipping “box” dredges (1.5–2.4 m wide, fitted with a rigid tooth bar on the leading bottom edge) to the “ring bag” designs used in the Challenger and Chatham Island fisheries. The fishing year applicable to this fishery is from 1 April to 31 March. The Coromandel commercial scallop fishing season runs from 15 July to 21 December each year.

A wide variety of effort controls and daily catch limits have been imposed in the past, but since 1992 the fishery has been limited by explicit seasonal catch limits specified in meatweight (adductor muscle with roe attached), together with some additional controls on dredge size, fishing hours and non-fishing days. Catch and catch rates from the Coromandel fishery are variable both within and among years, a characteristic typical of scallop fisheries worldwide.

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Catch rates typically decline as each season progresses, but such declines are highly variable and depletion analysis has not been successfully used to assess start-of-season biomass.

Until the 1994 season, the minimum legal size for scallops taken commercially in northern (Coromandel and Northland) scallop fisheries was 100 mm shell length. From 1995 onwards, a new limit of 90 mm shell length was applied in the Coromandel (but not the Northland) fishery as part of a management plan comprising several new measures. Since 1980 when the fishery was considered to be fully-developed, landings have varied more than 30-fold from less than 6 t to over 188 t (meatweight). The two lowest recorded landings were in 1999 and 2000.

Currently, seven vessels operate in the Coromandel scallop fishery. The fishery is open for five days per week and daily catch limits apply, by agreement of the quota holders. The SCA CS commercial fishing industry is represented by the Coromandel Scallop Fishermen's Association (CSFA). Since 2010, in addition to CELR reporting, CSFA have carried out a logbook program that involves recording fishery data at a fine spatial scale within the broader CELR statistical reporting areas, and fishing has been voluntarily constrained by applying an operational decision rule which specifies an agreed CPUE limit, below which fishing in an area ceases. Meatweight recovery, and the proportion of legal size scallops in the catch, are also monitored and used to determine fishing patterns.

The Coromandel scallop fishery is managed under the QMS using individual transferable quotas (ITQ) that are proportions of the Total Allowable Commercial Catch (TACC). Catch limits and landings from the Coromandel fishery are shown in Table 2. SCA CS is included on Schedule Two of the Fisheries Act 1996 which specifies that, for certain "highly variable" stocks, the total allowable catch can be increased within a fishing season after considering information about the abundance during the current fishing year. The TACC is not permanently changed by this process and the catch limit reverts to the "base" level of the TACC at the end of each season. Requests from the commercial fishers for an increase in ACE has usually been supported by estimates of biomass, resulting from an annual biomass survey, and also require a consultation process with all relevant stakeholders, prior to being implemented

From 1992 up to and including the 2012 fishing year, the base TACC for SCA CS was 22t. In most years annual surveys were conducted (exceptions 2000 and 2011) and an increase in ACE, above the base 22t, was allowed (Table 2). In 2013, the base TACC was raised from 22t to 100t. The purpose of the increase was to reduce management and research costs by reducing the need for the annual survey and consultation processes that were required to support requests for increases in TACC.

Table 2: Catch limits and landings (t meatweight or greenweight) from the Coromandel fishery since 1974. Data before 1986 are from Fisheries Statistics Unit (FSU) forms. Landed catch figures come from Monthly Harvest Return (MHR) forms, Licensed Fish Receiver Return (LFRR) forms, and from the landed section of Catch Effort and Landing Return (CELR) forms, whereas estimated catch figures come from the effort section of CELRs and are pro-rated to sum to the total CELR greenweight. "Hauraki" = 2X and 2W, "Mercury" = 2L and 2K, "Barrier" = 2R, 2S, and 2Q, "Plenty" = 2A–2I. Seasonal catch limits (since 1992) have been specified as ACE or on permits in meatweight (Green¹ assumes the gazetted meatweight recovery conversion factor of 12.5% and probably overestimates the actual greenweight taken in most years). * 1991 landings include about 400 t from Colville; #a large proportion of the 2011, 2012 and 2013 landings were from a relatively deep (45–50 m) area of 2W fished for the first time in 2011; –, no catch limits set, or no reported catch. [Continued on next page]

Season	Catch limits (t)		Landings (t)			Scaled estimated catch (t green)			
			MHR	CELR		Hauraki	Mercury	Barrier	Plenty
	Meat	Green ¹	Meat	Meat	Green				
1974	–	–	–	–	26	0	26	0	0
1975	–	–	–	–	76	0	76	0	0
1976	–	–	–	–	112	0	98	0	14
1977	–	–	–	–	710	0	574	0	136
1978	–	–	–	–	961	164	729	3	65

Table 2 [Continued]:

1979	-	-	-	-	790	282	362	51	91
1980	-	-	-	-	1 005	249	690	23	77
1981	-	-	-	-	1 170	332	743	41	72
1982	-	-	-	-	1 050	687	385	49	80
1983	-	-	-	-	1 553	687	715	120	31
1984	-	-	-	-	1 123	524	525	62	12
1985	-	-	-	-	877	518	277	82	0
1986	-	-	-	-	1 035	135	576	305	19
1987	-	-	-	-	1 431	676	556	136	62
1988	-	-	-	-	1 167	19	911	234	3
1989	-	-	-	-	360	24	253	95	1
1990	-	-	-	-	903	98	691	114	0
1991	-	-	-	-	1 392	*472	822	98	0
1992-93	154	1 232	-	-	901	67	686	68	76
1993-94	132	1 056	-	-	455	11	229	60	149
1994-95	66	528	-	-	323	17	139	48	119
1995-96	86	686	-	79	574	25	323	176	50
1996-97	88	704	-	80	594	25	359	193	18
1997-98	105	840	-	89	679	26	473	165	15
1998-99	110	880	-	37	204	1	199	2	1
1999-00	31	248	-	7	47	0	12	17	18
2000-01	15	123	-	10	70	0	24	2	44
2001-02	22	176	-	20	161	1	63	85	12
2002-03	35	280	32	31	204	0	79	12	112
2003-04	58	464	58	56	451	63	153	13	223
2004-05	78	624	78	78	624	27	333	27	237
2005-06	118	944	119	121	968	21	872	75	0
2006-07	118	944	118	117	934	28	846	60	0
2007-08	108	864	59	59	471	51	373	45	2
2008-09	95	760	71	72	541	12	509	15	5
2009-10	100	800	33	33	267	12	184	71	0
2010-11	100	800	35	35	281	11	110	160	1
2011-12	50	400	50	50	402	#220	160	20	0
2012-13	325	2600	73	73	584	#572	1	11	0
2013-14	100	800	51	68	545	#344	133	68	0
2014-15*	100		32						

*all landing and catch records were not available at the time of writing the report

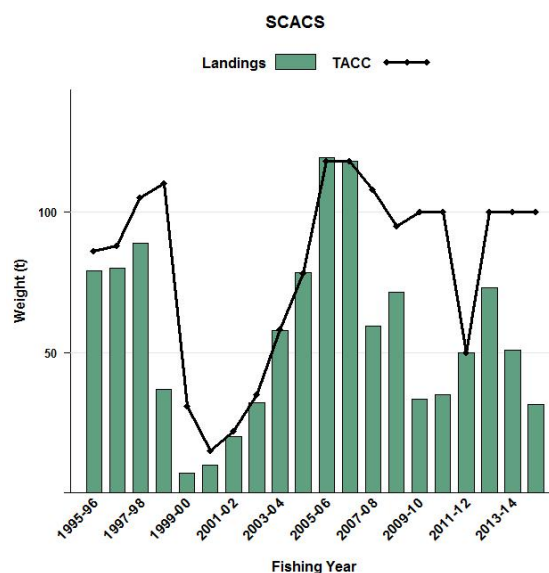


Figure 1: Landings and catch limits for SCACS (Coromandel) from 2002-03 to 2012-13. TACC refers to catch limit, and Weight refers to Meatweight.

1.2 Recreational fisheries

There is a strong non-commercial (recreational and Maori customary) interest in scallops in suitable areas throughout the Coromandel fishery, mostly in enclosed bays and harbours. Scallops are usually taken by diving using snorkel or scuba, although considerable amounts are also taken

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using small dredges. In some areas, especially in harbours, scallops can be taken by hand from the shallow subtidal and even the low intertidal zones (on spring tides), and, in storm events, scallops can be cast onto lee beaches in large numbers. One management tool for northern scallop fisheries is the general spatial separation of commercial and amateur fisheries through the closure of harbours and enclosed waters to commercial dredging. There remain, however, areas of contention and conflict, some of which have been addressed using additional regulated closures. Regulations governing the recreational harvest of scallops from SCA CS include a minimum legal size of 100 mm shell length and a restricted daily harvest (bag limit) of 20 per person. A change to the recreational fishing regulations in 2005 allowed divers operating from a vessel to take scallops for up to two nominated safety people on board the vessel, in addition to the catch limits for the divers. Until 2006, the recreational scallop season ran from 15 July to 14 February, but in 2007 the season was changed to run from 1 September to 31 March.

Estimates of the recreational scallop harvest from SCA CS are shown in Table 3. A pilot study creel survey was conducted in 2007–08 to assess the feasibility of estimating the recreational catch in that part of the Coromandel scallop fishery from Cape Colville to Hot Water Beach (Holdsworth & Walshe 2009). The study was based on an access point (boat ramp) survey using interviewers to collect catch and effort information from returning fishers, and was conducted from 1 December 2007 to 28 February 2008 (90 days) during the peak of the scallop season. The total estimated harvest during the survey period was 205,400 scallops (CV = 8.6%), with an estimated 23.9 t greenweight harvested (about 3 t meatweight). The estimate of 67 t greenweight (about 8 t meatweight) from a panel survey in 2011–12 (Wynne-Jones et al in press) equates to about 16% of the commercial harvest in the area surveyed in that year. The annual recreational harvest level is likely to vary substantially through time.

Table 3: Estimates of the recreational harvest of scallops from SCA CS. Number, number of scallops; green, greenweight; meat, meatweight (assuming 12.5% recovery of meat weight from green weight). The 2007–08 estimates are for a 90 day period of the summer in a defined area (Coromandel peninsular) within SCA CS only.

Year	Area	Survey method	Number	CV	Green (t)	Meat (t)	Reference
2007–08	Coro. peninsular	Creel survey	205 400	0.09	24	3	Holdsworth and Walshe (2009)
2011–12	SCA CS	Panel survey	605 466	0.27	67	8	Wynne-Jones et al (in review)

1.3 Customary fisheries

Scallops were undoubtedly used traditionally as food by Maori, and some limited quantitative information on recent levels of customary take is available from Ministry for Primary Industries (Table 4).

Table 4: Ministry for Primary Industries records of customary harvest of scallops (reported on customary permits as numbers or greenweight, or units unspecified) taken from the Coromandel scallop fishery, 2003–04 to 2008–09. –, no data.

SCACS	Quantity approved, by unit type					Actual quantity harvested, by unit type				
	Bag	BIN	Weight (kg)	Number	Unspecified	Bag	BIN	Weight (kg)	Number	Unspecified
2003–04	–	–	600	200	–	–	–	600	200	–
2004–05	–	–	360	50	150	–	–	360	–	–
2005–06	–	–	3	700	50	–	–	0	–	–
2006–07	–	–	–	290	–	–	–	–	180	–
2007–08	–	–	330	630	–	–	–	285	280	–
2008–09	1	10	370	2390	13550	–	4	82	2090	4476
2009–10	–	1	150	1260	15510	–	202	65	1000	4500
2010–11	–	–	675	1800	19700	–	–	190	1400	6785
2011–12	–	–	310	640	25590	–	–	310	0	10270
2012–13	–	3	250	80	29800	–	200	200	80	14904
2013–14	–	–	–	2390	16830	–	–	–	2090	7055

1.4 Illegal catch

There is no quantitative information on the level of illegal catch.

1.5 Other sources of mortality

The box dredges in use in the Coromandel commercial fishery have been found to be considerably more efficient, in the generally sandy conditions prevalent in the fishery, than ring-bag or Keta-Ami dredges. However, scallops encountered by box dredges showed modest reductions in growth rate, compared with scallops collected by divers, and quite high mortality (about 20–30% mortality for scallops that are returned to the water. i.e. just under the MLS of 90 mm). Stochastic modelling suggested that, of the three dredge designs tested, box dredges would generate the greatest yield-per-recruit and catch rates. The incidental mortality caused by dredging substantially changed the shape of yield-per-recruit curves for Coromandel scallops, causing generally asymptotic curves to become domed, and decreasing estimates of F_{max} and $F_{0.1}$. More recent field experiments and modelling suggest that dredging reduces habitat heterogeneity, increases juvenile mortality, makes yield-per-recruit curves even more domed, and decreases estimates of F_{max} and $F_{0.1}$ even further.

2. BIOLOGY

Pecten novaezelandiae is one of several species of “fan shell” bivalve molluscs found in New Zealand waters. Others include queen scallops and some smaller species of the genus *Chlamys*. *P. novaezelandiae* is endemic to New Zealand, but is very closely related to the Australian species *P. fumatus* and *P. modestus*. Scallops of various taxonomic groups are found in all oceans and support many fisheries world-wide; most scallop populations undergo large fluctuations.

Scallops are found in a variety of coastal habitats, but particularly in semi-enclosed areas where circulating currents are thought to retain larvae. After the planktonic larval phase and a relatively mobile phase as very small juveniles, scallops are largely sessile and move actively mainly in response to predators. They may, however, be moved considerable distances by currents and storms and are sometimes thrown up in large numbers on beaches.

Scallops are functional hermaphrodites, and become sexually mature at a size of about 70 mm shell length. They are extremely fecund and may spawn several times each year. Fertilisation is external and larval development lasts for about 3 weeks. Initial settlement occurs when the larva attaches via a byssus thread to filamentous material or dead shells on or close to the seabed. The major settlement of spat in northern fisheries usually takes place in early January. After growth to about 5 mm, the byssus is detached and, after a highly mobile phase as a small juvenile, the young scallop takes up the relatively sedentary adult mode of life.

The very high fecundity of this species, and likely variability in the mortality of larvae and pre-recruits, leads to great variability in annual recruitment. This, combined with variable mortality and growth rate of adults, leads to scallop populations being highly variable from one year to the next, especially in areas of rapid growth where the fishery may be supported by only one or two year classes. This variability is characteristic of scallop populations world-wide, and often occurs independently of fishing pressure.

The growth of scallops within the Coromandel fishery is variable among areas, years, seasons and depths, and probably among substrates. In the Hauraki Gulf scallops have been estimated to grow to 100 mm shell length in 18 months or less, whereas this can take three or more years elsewhere (Table 5). In some years, growth is very slow, whereas in others it is very rapid. There is a steep relationship with depth and scallops in shallow water grow much faster than those in deeper water. This is not a simple relationship, however, as scallops in some very deep beds (e.g.,

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Rangaunu Bay and Spirits Bay in the far north, both deeper than 40 m) appear to grow at least as fast as those in favourable parts of the Coromandel fishery. Food supply undoubtedly plays a role.

A variety of studies suggest that average natural mortality in the Coromandel fishery is quite high at $M = 0.50 \text{ y}^{-1}$ (instantaneous rate), and maximum age in unexploited populations is thought to be about 6 or 7 years.

Table 5: Estimates of biological parameters.

Stock	Estimates		Source
1. Natural mortality, M Motiti Island	0.4–0.5		Walshe 1984
2. Weight = $a(\text{length})^b$	a	b	
Coromandel fishery	0.00042	2.662	Cryer & Parkinson 1999
3. von Bertalanffy parameters	L_∞	K	
Motiti Island (1981–82)	140.6	0.378	Walshe 1984
Hauraki Gulf (1982–83)	115.9	1.200	Walshe 1984
Whitianga (1982)	114.7	1.210	Data of L.G. Allen, analysed by Cryer & Parkinson 1999
Whitianga (1983)	108.1	1.197	Data of L.G. Allen, analysed by Cryer & Parkinson 1999
Whitianga (1984)	108.4	0.586	Data of L.G. Allen, analysed by Cryer & Parkinson 1999
Coromandel fishery (1992–97)	108.8	1.366	Cryer & Parkinson 1999
Whitianga mean depth 10.6 m	113.5	1.700	Cryer & Parkinson 1999
Whitianga mean depth 21.1 m	109.0	0.669	Cryer & Parkinson 1999
Whitianga mean depth 29.7 m	110.3	0.588	Cryer & Parkinson 1999

3. STOCKS AND AREAS

Scallops inhabit waters of up to about 60 m deep (apparently up to 85 m at the Chatham Islands), but are more common in depths of 10 to 50 m on substrates of shell gravel, sand or, in some cases, silt. Scallops are typically patchily distributed at a range of spatial scales; some of the beds are persistent and others are ephemeral. The extent to which the various beds or populations are reproductively or functionally separate is not known. It is currently assumed for management that the Northland stock is separate from the adjacent Coromandel stock and from the various west coast harbours, Golden Bay, Tasman Bay, Marlborough Sounds, Stewart Island and Chatham Island areas.

4. STOCK ASSESSMENT

From 1992 to 2010, biomass surveys of selected scallop beds in the fishery have been conducted on an almost annual basis, as a means of estimating stock size and informing management decisions on potential increases in the annual TACC.

In 2011, no survey was conducted; instead, biomass estimates were calculated using estimates of projected biomass generated by projecting the 2010 survey data forward to the start of the 2011 fishing season. The projection approach used a length-based growth transition matrix (based on tag return data) to grow the scallops from the time of the survey (May 2010) to the start of the fishing season the following year (July 2011), correcting for dredge efficiency, and allowing for natural mortality and fishing mortality (catch and incidental mortality). Uncertainty was incorporated during the projection process by bootstrapping (resampling with replacement) from the various data sources (Tuck 2011).

In 2012, a comprehensive survey was conducted that aimed to provide an estimate of abundance representative of the status of the overall SCA CS stock. The survey coverage was more extensive than used previously, with the stratification comprising ‘core’ strata (those surveyed and fished

consistently in the past), ‘background’ strata (areas of lower densities outside the core strata that formed part of the survey coverage in the past), and ‘new’ strata (those in Hauraki Gulf that had never been surveyed before).

In 2013, the base TACC was raised from 22t to 100t.

4.1 Estimates of fishery parameters and abundance

Fishing mortality has been variable over time in the Coromandel fishery (Table 6).

Standardised CPUE from the statutory catch and effort returns is not considered a reliable index of abundance at the stock level (Cryer 2001b). Recent simulation studies have, however, examined the use of local area CPUE as a basis for some management strategies (Haist & Middleton 2014).

4.2 Biomass estimates

From 1992 to 2012 biomass surveys were conducted almost annually (Table 6 & 7). Average biomass in the absence of fishing, B_0 , and the biomass that will support the maximum sustainable yield, B_{MSY} , have not been estimated and are probably not appropriate reference points for a stock with highly variable recruitment and growth such as scallops.

Assessments of current yields were based on pre-season biomass surveys done by diving and/or dredging (Tables 6& 7). Bian et al (2012) modelled the efficiency of box dredges used in northern New Zealand scallop fisheries, and the results suggest the efficiency of these dredges was underestimated previously (2004 to 2010), resulting in overestimation of biomass and yield. The 2012 estimates of abundance and biomass were made using the new parametric model of dredge efficiency (Bian et al 2012) that estimates efficiency with respect to scallop length, water depth, substrate type, and tow termination.

Table 6: Estimated start of season abundance and biomass of scallops of 90 mm or more shell length in the Coromandel fishery since 1998 using historical average dredge efficiency; for each year, the catch (reported on the ‘Landed’ section of CELRs), exploitation rate (catch to biomass ratio), and the estimated fishing mortality (F_{est}) are also given. F_{est} was estimated by iteration using the Baranov catch equation where $t = 5/12$ and $M = 0.50$ spread evenly through the year. Abundance and biomass estimates are mean values up to and including 2003, and median values from 2004, when the analytical methodology for producing the estimates was modified. Note the estimates for 1998–2010 were produced by correcting for dredge efficiency using the method of Cryer & Parkinson (2006), which was replaced by the method of Bian et al (2012) in 2012 (a preliminary version of that method was used in 2011). This, together with changes to survey coverage each year, makes direct comparisons among years difficult. There was no survey in 2000, 2011, 2013 or 2014. The 2011 values are projected estimates generated by projecting forward the 2010 survey data to the start of the 2011 fishing season. Estimates of abundance in numbers (millions) of scallops were not reported in 2011. –, no data.

Year	Abundance		Biomass				Catch (t meat)	Exploitation rate (catch/biomass)	F_{est} ≥90 mm
	(millions)	CV	(t green)	CV	(t meat)	CV			
1998	35.4	0.16	2702	0.16	365	0.16	31	0.08	0.237
1999	10.3	0.18	752	0.18	102	0.18	7	0.07	0.189
2000	–	–	–	–	–	–	10	–	–
2001	8.3	0.26	577	0.27	78	0.27	20	0.26	0.796
2002	10.3	0.20	768	0.20	104	0.20	31	0.30	0.954
2003	16.0	0.18	1224	0.18	165	0.18	56	0.34	1.131
2004	111.5	0.22	9024	0.21	1131	0.26	78	0.07	0.191
2005	169.3	0.24	14374	0.23	1795	0.27	121	0.07	0.185
2006	143.1	0.21	12302	0.21	1531	0.25	117	0.08	0.212
2007	101.6	0.20	8428	0.20	1061	0.23	59	0.06	0.152
2008	94.0	0.29	6900	0.28	868	0.31	72	0.08	0.232
2009	64.5	0.23	4676	0.22	595	0.24	33	0.06	0.154
2010	58.8	0.20	4442	0.19	540	0.21	35	0.07	0.180
2011	–	–	5426	0.85	658	0.87	50	0.08	0.211
2012	140.0	0.15	11423	0.15	1380	0.18	73	0.05	0.145
2013	–	–	–	–	–	–	–	–	–
2014	–	–	–	–	–	–	–	–	–

The 2012 estimates were produced from a comprehensive survey coverage that included previously unsurveyed areas of the SCA CS stock (e.g., the 40–50 m deep region of Hauraki Gulf, which contained a considerable biomass in 2012).

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Discerning trends in the abundance and biomass of recruited scallops is complicated by changes to survey coverage, the establishment of closed areas, and uncertainty about dredge efficiency in any particular year. Time series of abundance and biomass estimates of scallops 90 mm or more shell length are shown in Table 7. It is important to note that these time series were produced by correcting for dredge efficiency using the method of Cryer & Parkinson (2006), so the 2012 values were generated using that same method so that all years are comparable. For 2012, the estimates were generated using data from the ‘core’ strata only (i.e., the ‘background’ strata, and ‘new’ strata in the Hauraki Gulf region, were excluded, the latter because there was no survey from the past; it was surveyed for the first time in 2012).

Estimates around the turn of the century (2000) were consistently at or near the lowest on record leading to the conclusion that the population was, for unknown reasons, at a very low level. In contrast, following reasonable increases in 2003 and, especially, 2004, the abundance and biomass in 2005 were the highest on record and probably higher than in the mid 1980s when not all of the beds were surveyed. This resurgence was strongest in the Mercury region to the north of Whitianga (the mainstay of the fishery), but most beds showed some increase in density. There has been a gradual decline in the overall recruited population since the peak in 2005, but in 2010 this downward trend appeared to have stalled. For the regions usually fished (i.e. for the core strata only, excluding the area in Hauraki Gulf and the ‘background’ strata) the status of the recruited population in 2012 appears to be fairly similar to that in 2010 (Appendix 8; estimated using Cryer & Parkinson (2006) dredge efficiency method), and again most of the fishable biomass is held in the Mercury beds, but with high densities of recruits in beds at Little Barrier. For the Hauraki Gulf region of the fishery (2W/2S), it is unknown whether the large biomass of scallops surveyed in 2012 is a consistent part of the population, or a product of successful recruitment in recent years.

Table 7: Estimated abundance and biomass of scallops 90 mm or more shell length at the time of surveys in the five main regions of the Coromandel fishery since 1998. Excludes the “new”, deep fishery region in Hauraki Gulf, which was fished for the first time in 2011, and surveyed for the first time in 2012 (estimated 148.5 million scallops or 13278 t greenweight biomass). Survey data were analysed using a non-parametric re-sampling with replacement approach to estimation (1000 bootstraps). Note these estimates were produced by correcting for dredge efficiency using the method of Cryer & Parkinson (2006), which has now been replaced by the method of Bian et al (2012). Figures are not necessarily directly comparable among years because of changes to survey coverage. –, no survey in a region or year. The 2001 survey totals include scallops surveyed in 7 km² strata at both Kawau (0.5 million, 3 t) and Great Barrier Island (0.8 million, 62 t). [Continued on next page]

Year	Abundance (millions)							Area surveyed (km ²)
	Barrier	Waiheke	Colville	Mercury	Plenty	Total		
1998	2.0	9.0	0.4	21.3	2.2	36.1	341	
1999	0.5	0.5	0.0	7.3	2.7	11.2	341	
2000	–	–	–	–	–	–	–	
2001	7.4	0.4	–	6.9	2.1	18.1	125	
2002	1.8	4.0	–	6.6	2.0	14.7	119	
2003	2.5	4.0	4.3	12.3	4.9	28.6	130	
2004	4.5	9.8	0.4	58.5	8.2	82.6	149	
2005	6.2	3.3	3.0	118.8	12.6	145.3	174	
2006	5.6	–	10.3	101.6	6.5	125.3	160	
2007	4.2	1.3	4.4	59.9	14.3	84.6	175	
2008	2.0	–	1.7	56.3	4.8	65.0	144	
2009	10.4	–	3.1	31.8	1.3	46.9	144	
2010	9.6	0.8	2.6	28.0	3.9	45.6	149	
2011	–	–	–	–	–	–	–	
2012	7.7	0.4	2.4	22.8	2.9	36.8	180	
2013	–	–	–	–	–	–	–	
2014	–	–	–	–	–	–	–	

Table 7 [Continued]:

Year	Biomass (t green)						Area (km ²)
	Barrier	Waiheke	Colville	Mercury	Plenty	Total	
1998	173	731	30	1 674	205	2 912	341
1999	42	34	1	559	224	873	341
2000	–	–	–	–	–	–	–
2001	554	32	–	525	165	1 362	125
2002	150	289	–	538	163	1 156	119
2003	225	302	387	995	406	2 355	130
2004	348	737	30	4 923	676	6 794	149
2005	544	274	316	10 118	1 058	12 404	174
2006	519	–	1 041	8 731	534	10 902	160
2007	376	96	409	5 498	1 110	7 539	175
2008	166	–	150	4 575	367	5 265	144
2009	823	–	257	2 512	102	3 725	144
2010	764	59	219	2 299	291	3 671	149
2011	–	–	–	–	–	–	–
2012	629	32	250	1 855	225	3 027	180
2013	–	–	–	–	–	–	–
2014	–	–	–	–	–	–	–

Uncertainty stemming from assumptions about dredge efficiency during the surveys, rates of growth and natural mortality between survey and season, and predicting the average recovery of meatweight from greenweight remain in these biomass estimates. A new model of scallop dredge efficiency (Bian et al 2012) has helped to reduce this uncertainty, as should future research projects aimed at collecting more data on scallop growth and mortality. Managing the fisheries based on the number of recruited scallops at the start of the season as opposed to recruited biomass (the current approach) could remove the uncertainty associated with converting estimated numbers of scallops to estimated meatweight.

In the recreational SCA CS fishing areas, diver surveys of scallops were conducted annually in June–July from 2006 to 2010 (Williams et al 2008, Williams 2009a, b, 2012). For the four small beds (total area of 4.64 km²) surveyed each year, the projected (15 July) biomass of scallops over 100 mm shell length was estimated to be 128 t greenweight (CV of 26%) or 16 t meatweight in 2006, 82 t greenweight (CV of 13%) or 10 t meatweight (CV of 20%) in 2007, and 79 t greenweight (CV of 14%) or 10 t meatweight (CV of 21%) in 2008. Survey stratum boundaries were revised in 2009 to better reflect the extent of the scallop bed at each site, resulting in a slightly reduced total area (3.6 km²) surveyed; the total projected biomass was estimated to be 50 t greenweight or 6 t meatweight (CVs of 13%) in 2009, and 48 t greenweight or 6 t meatweight (CVs of 13 and 16%) in 2010 (Williams 2012).

4.3 Yield estimates and projections

MCY has not been estimated for Coromandel scallops because of the high natural variation in abundance.

Until 1997, assessments for the Coromandel fishery were based on Provisional Yield (PY, estimated as the lower bound of a 95% confidence distribution for the estimated start-of-season biomass of scallops 100 mm or more shell length). However, experiments and modelling showed this method to be sub-optimal. New estimates of the reference fishing mortality rates $F_{0.1}$, $F_{40\%}$ and F_{max} were made, taking into account experimental estimates of incidental fishing mortality. For assessments since 1998, CAY was estimated using these reference fishing mortality rates, and CAY supplanted PY as a yield estimator. Recent experimentation and modelling of juvenile mortality in relation to habitat heterogeneity suggest that even these more conservative reference fishing mortality rates may be too high.

Yield estimates are generally calculated using reference rates of fishing mortality applied to an estimate of current or reference biomass. Cryer & Parkinson (2006) reviewed reference rates of fishing mortality and summarised modelling studies by Cryer & Parkinson (1997) and Cryer et al (2004). $F_{0.1}$ is used as the target reference rate of fishing mortality for scallops. From 1998 to

2012, catch limits have been adjusted in line with estimated start-of-season recruited biomass and an estimate of CAY made using the Baranov catch equation:

$$CAY = \frac{F_{ref}}{F_{ref} + M} (1 - e^{-(F_{ref}+M)t}) B_{beg}$$

where $t = 5/12$ years, F_{ref} is a reference fishing mortality ($F_{0.1}$) and B_{beg} is the estimated start-of-season (15 July) recruited biomass (scallops of 90 mm or more shell length). Natural mortality is assumed to act in tandem with fishing mortality for the first 5 months of the fishing season, the length of the current Coromandel commercial scallop season. B_{beg} is estimated assuming historical average dredge efficiency at length, average growth (from previous tagging studies), $M = 0.5$ spread evenly through the year, and historical average recovery of meatweight from greenweight. Because of the uncertainty over biomass estimates, growth, and mortality in a given year, and appropriate reference rates of fishing mortality, yield estimates must be treated with caution.

Modelling studies for Coromandel scallops (Cryer & Morrison 1997, Cryer et al 2004) indicate that $F_{0.1}$ is sensitive not only to the direct incidental effects of fishing (reduced growth and increased mortality on adult scallops), but also to indirect incidental effects (such as additional juvenile mortality related to reduced habitat heterogeneity in dredged areas). By including only the direct incidental effects of fishing on scallops, Cryer et al (2004) derived an estimate of $F_{0.1} = 1.034 \text{ y}^{-1}$ (reported by Cryer et al 2004, as $5/12 * F_{0.1} = 0.431$). Cryer et al (2004) also modelled the “feedback” effects of habitat modification by the dredge method on juvenile mortality in scallops. They developed estimates of F_{ref} that incorporated such effects, but had to make assumptions about the duration of what they called the “critical phase” of juvenile growth during which scallops were susceptible to increased mortality. To give some guidance on the possible outcome of including “indirect” (as well as direct) effects on yield estimates, the Cryer et al (2004) estimate of $F_{0.1} = 0.658 \text{ y}^{-1}$ (reported as $5/12 * F_{0.1} = 0.274$) was also applied in calculations of CAY.

For both scenarios, the estimates of CAY would have C.V.s at least as large as those of the estimate of start-of-season recruited biomass, are sensitive to assumptions about dredge efficiency, growth, and expected recovery of meatweight from greenweight, and relate to the surveyed beds only. Further, the second approach which includes indirect incidental effects (putative “habitat effects”) is sensitive to the duration of any habitat-mediated increase in juvenile mortality. There is also additional uncertainty associated with using a point estimate of $F_{0.1}$ (i.e., variance associated with the point estimate of $F_{0.1}$ was not incorporated in the analysis), and the fact that the estimates of $F_{0.1}$ were generated using estimates of dredge efficiency that are different to those used to estimate current biomass; the latter may have resulted in underestimates of yield.

The last biomass survey was undertaken in 2012 and the CAY estimates calculated (tonnes meatweight):

		$F_{0.1}=0.431$	$F_{0.1}=0.274$
B_{beg}	1380t	439t	300t

Regardless of the approach used to estimate CAY, the production of a single ‘best estimate’ of CAY should be treated with caution. For the projections to the 2012 start of season, the 1000 combined greenweight estimates were converted to meatweight (resampling from the meatweight greenweight conversion ratio data).. The median of this meatweight distribution was 1380 tonnes. Using the existing target reference $F_{0.1}$ values for Coromandel scallops, this meatweight distribution was converted into a distribution of CAY estimates and a range of catch limit options were compared with this distribution to provide a decision table (Table 8).

Table 8: Decision table showing probability that a particular catch limit (t meatweight) would exceed reference fishing mortality values, for the Coromandel scallop (SCA CS) 2012–13 fishing year. $F_{0.1}$ (direct effects) represents the probability that the estimate of $F_{0.1} = 1.034$ incorporating direct incidental mortality effects is exceeded. $F_{0.1}$ (direct & indirect effects) represents the probability that the estimate of $F_{0.1} = 0.658$ incorporating direct and indirect incidental mortality effects is exceeded. These probabilities were generated from an analysis using estimates of absolute biomass within the surveyed area (i.e., a critical density of 0.00 scallops m^{-2}). [Continued on next page]

Catch limit (t)	$F_{0.1}$ (direct effects)	$F_{0.1}$ (direct & indirect effects)
150	0.000	0.000
160	0.000	0.000
170	0.000	0.001
180	0.000	0.002
190	0.000	0.005
200	0.000	0.011
210	0.000	0.018
220	0.000	0.036
230	0.000	0.063
240	0.001	0.109
250	0.001	0.162
260	0.002	0.217
270	0.002	0.285
280	0.007	0.351
290	0.010	0.429
300	0.016	0.510
310	0.020	0.577
320	0.033	0.645
330	0.050	0.706
340	0.070	0.772
350	0.104	0.817
360	0.138	0.850
370	0.179	0.886
380	0.213	0.914
390	0.259	0.933
400	0.306	0.950
410	0.353	0.960
420	0.402	0.974
430	0.460	0.985
440	0.513	0.988

5. ENVIRONMENTAL AND ECOSYSTEM CONSIDERATIONS

This section was reviewed by the Aquatic Environment Working Group for the November 2013 Fishery Assessment Plenary. A broader summary of information on a range of issues related to the environmental effects of fishing and aspects of the marine environment and biodiversity of relevance to fish and fisheries is available in the Aquatic Environment & Biodiversity Annual Review (<http://www.mpi.govt.nz/Default.aspx?TabId=126&id=2122>) (Ministry for Primary Industries 2013).

5.1 Role in the ecosystem

Scallops (*Pecten novaezelandiae*) are subtidal, benthic, epifaunal, sedentary, bivalve molluscs, which have a pelagic larval dispersal phase. They are found patchily distributed at a range of scales in particular soft sediment habitats in inshore waters of depths generally to 50 m and exceptionally up to 85 m. They exhibit relatively fast growth, high mortality, and variable recruitment. The rates of these processes probably vary in relation to environmental conditions (e.g., temperature, water flow, turbidity, salinity), ecological resources (e.g., food, oxygen, habitat), and with intra- and inter-specific interactions (e.g., competition, predation, parasitism, mutualism), and the combination of these factors determines the species distribution and

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abundance (Begon et al 1990). Scallops are considered to be a key component of the inshore coastal ecosystem, acting both as consumers of primary producers and as prey for many predators; the scallops themselves can also provide structural habitat for other epifauna (e.g., sponges, ascidians, algae).

5.1.1 Trophic interactions

Scallops are active suspension feeders, consuming phytoplankton and other suspended material (benthic microalgae and detritus) as their food source (Macdonald et al 2006). Their diet is the same as, or similar to, that of many other suspension feeding taxa, including other bivalves such as oysters, clams, and mussels.

Scallops are prey to a range of invertebrate and fish predators, whose dominance varies spatially. Across all areas, reported invertebrate predators of scallops include starfish (*Astropecten polyacanthus*, *Coscinasterias calamaria*, *Luidia varia*), octopus (*Pinnoctopus cordiformis*), and hermit crabs (*Pagurus novaezelandiae*), and suspected invertebrate predators include various carnivorous gastropods (e.g., *Cominella adpersa* and *Alcithoe arabica*); reported fish predators of scallops include snapper (*Pagrus auratus*), tarakihi (*Nemadactylus macropterus*), and blue cod (*Parapercis colias*), and suspected fish predators include eagle rays (*Myliobatis tenuicaudatus*) and stingrays (*Dasyatis* sp.) (Morton & Miller 1968, Bull 1976, Morrison 1998, Nesbit 1999). Predation varies with scallop size, with small scallops being generally more susceptible to a larger range of predators.

5.2 Incidental catch (fish and invertebrates)

A range of non-target fish and invertebrate species are caught and discarded by dredge fisheries for *P. novaezelandiae* scallops. No data are available on the level or effect of this incidental catch (bycatch) and discarding by the fisheries. Bycatch data are available, however, from various dredge surveys of the scallop stocks, and the bycatch of the fisheries is likely to be similar to that of the survey tows conducted in areas that support commercial fishing.

Species or groups that have been caught as incidental catch in the box dredges and ring-bag dredges used in surveys of commercial scallop (*P. novaezelandiae*) fishery areas in New Zealand are shown in Table 10. Catch composition varies among the different fishery locations and through time.

In the Coromandel scallop stock (SCACS), a photographic approach was used in the 2006 dredge survey to provisionally examine bycatch groups (Tuck et al 2006), but a more quantitative and comprehensive study was conducted using bycatch data collected in the 2009 dredge survey (Williams et al 2010), with survey catches quantified by volume of different component categories. Over the whole 2009 survey, scallops formed the largest live component of the total catch volume (26%), followed by assorted seaweed (11%), starfish (4%), other live bivalves (4%), coralline turfing algae (1%) plus other live components not exceeding 0.5%. Dead shell (identifiable and hash) formed the largest overall component (45%), and rock, sand, and gravel formed 8%. Categories considered to be sensitive to dredging were caught relatively rarely. Data on the bycatch of the 2010 and 2012 surveys of SCA CS were also collected but not analysed; those data have been loaded to the MPI database 'scallop' for potential future analysis (Williams & Parkinson 2010, Williams et al 2013b).

In the Northland scallop stock (SCA 1), analysis of historical survey bycatch from a localised deep area within Spirits Bay showed an unusually high abundance and species richness of sponges (Cryer et al 2000), and led to the voluntary and subsequent regulated closure of that area to commercial fishing.

In the Southern scallop stock (SCA 7), data on the bycatch of the 1994–2013 surveys have been collected but not analysed, except for preliminary estimation of the 1998–2013 bycatch trajectories (Williams et al 2013a).

Table 10: Species or groups categorised by bycatch type caught as incidental catch in dredge surveys of commercial scallop (*P. novaezelandiae*) fishery areas in New Zealand.

Type	Species or groups
habitat formers	sponges, tubeworms, coralline algae (turf, maerl), bryozoa
starfish	Astropecten, Coscinasterias, cushion stars, carpet stars
bivalves	dog cockles, horse mussels, oysters, green-lipped mussels, Tawera
other invertebrates	anemones, crabs, gastropods, polychaetes, octopus, rock lobster
fish	gobie, gurnard, John dory, lemon sole, pufferfish, red cod, sand eel, snake eel, stargazer, yellowbelly flounder
seaweed	Ecklonia, other brown algae, green algae, red algae
shell	whole shells, shell hash
substrate	mud, sand, gravel, rock
other	rubbish

5.3 Incidental catch (seabirds, mammals, and protected fish)

There is no known bycatch of seabirds, mammals or protected fish species from *P. novaezelandiae* scallop fisheries.

5.4 Benthic interactions

It is well known that fishing with mobile bottom contact gears such as dredges has impacts on benthic populations, communities, and their habitats (e.g., see Kaiser et al 2006, Rice 2006). The effects are not uniform, but depend on at least: “the specific features of the seafloor habitats, including the natural disturbance regime; the species present; the type of gear used, the methods and timing of deployment of the gear, and the frequency with which a site is impacted by specific gears; and the history of human activities, especially past fishing, in the area of concern” (Department of Fisheries and Oceans 2006). The effects of scallop dredging on the benthos are relatively well-studied, and include several New Zealand studies carried out in areas of the northern fisheries (SCA 1 and SCA CS) (Thrush et al 1995, Thrush et al 1998, Cryer et al 2000, Tuck et al 2009, Tuck & Hewitt 2012) and the Golden/Tasman Bay region of the southern (SCA 7) fishery (Tuck et al 2011). The results of these studies are summarised in the Aquatic Environment & Biodiversity Annual Review (Ministry for Primary Industries 2012), and are consistent with the global literature: generally, with increasing fishing intensity there are decreases in the density and diversity of benthic communities and, especially, the density of emergent epifauna that provide structured habitat for other fauna.

5.5 Other considerations

5.5.1 Spawning disruption

Scallop spawning occurs mainly during spring and summer (Bull 1976, Williams & Babcock 2004). Scallop fishing also occurs during these seasons, and is particularly targeted in areas with scallops in good condition (reproductively mature adults ready to spawn). Fishing also concentrates on high density beds of scallops, which are disproportionately more important for fertilisation success during spawning (Williams 2005). Fishing, therefore, may disrupt spawning by physically disturbing scallops that are either caught and retained (removal), caught and released, not caught but directly contacted by the dredge, or not caught but indirectly affected by the effects of dredging (e.g., suspended sediments).

5.5.2 Habitat of particular significance to fisheries management

Habitat of particular significance for fisheries management (HPSFM) does not have a policy definition (Ministry for Primary Industries 2012) although work is currently underway to define one. Certain features of the habitats which scallops are associated with are known to influence scallop productivity by affecting the recruitment, growth and mortality of scallops, and therefore may in the future be useful in terms of identifying HPSFM. Scallop larval settlement requires the presence of fine filamentous emergent epifauna on the seabed, such as tubeworms, hydroids, and filamentous algae, hence the successful use of synthetic mesh spatbags held in the water column

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as a method for collecting scallop spat. Survival of juveniles has been shown to vary with habitat complexity, being greater in more complex habitats (with more emergent epifauna) than in more homogeneous areas (Talman et al 2004). The availability of suspended microalgae and detritus affects growth and condition (Macdonald et al 2006). Suspended sediments can reduce rates of respiration and growth, the latter by ‘diluting’ the food available; scallops regulate ingestion by reducing clearance rates rather than increasing pseudofaeces production. Laboratory studies have demonstrated that suspended sediments disrupt feeding, decrease growth and increase mortality in scallops (Stevens 1987, Cranford & Gordon 1992, Nicholls et al 2003).

6. STOCK STATUS

Stock Structure Assumptions

The stock structure of scallops in New Zealand waters is uncertain. For the purposes of this assessment, SCA CS is assumed to be a single biological stock, although the extent to which the various beds or populations are reproductively or functionally separate is not known.

• Coromandel scallops, SCA CS

Stock Status	
Year of Most Recent Assessment	2012-13 fishing season
Assessment Runs Presented	Two approaches to estimating CAY
Reference Points	Target: Fishing mortality at or below $F_{0.1}$ ($F_{0.1} = 1.034 \text{ y}^{-1}$ including direct incidental effects of fishing only, or $F_{0.1} = 0.658 \text{ y}^{-1}$ including direct and indirect effects of fishing) Soft Limit: 20% B_0 Hard Limit: 10% B_0 Overfishing threshold: F_{MSY}
Status in relation to Target	Very Likely (> 90%) to be below F_{target} (in 2012–13, $F_{est} = 0.145 \text{ y}^{-1}$) Unknown for 2013-14
Status in relation to Limits	Unlikely (< 40%) to be below the soft and hard limits
Status in relation to Overfishing	Overfishing is Very Unlikely (< 10%) to be occurring
Historical Stock Status Trajectory and Current Status	
<p style="text-align: center;">SCA CS</p> <p>Estimated recruited biomass (scallops 90 mm or more shell length), catch limits, and landings (MHRs) in t meatweight for the SCA CS fishery since 1998. In 2011, no survey was conducted; instead, biomass was estimated by projecting forward from the 2010 survey.</p>	

Fishery and Stock Trends	
Recent Trend in Biomass or Proxy	Estimated recruited biomass (t meatweight of scallops ≥ 90 mm shell length) in the core areas of the fishery during 1999–2003 was consistently at or near the lowest on record (78 t meatweight in 2001), but increased to record high levels in 2005 (1795 t) and 2006 (1531 t). There was a trend of decreasing biomass from the peak in 2005 to the 2009 estimate of 595 t. The comprehensive 2012 survey coverage included a large new area of the fishery in Hauraki Gulf, and showed that it held a considerable biomass. It is unknown whether the large biomass of scallops found in 2012 is a consistent part of the population, or a product of successful recruitment in recent years. Including that ‘new’ area, projected biomass in 2012 was an estimated 1380 t.
Recent Trend in Fishing Intensity or Proxy	At the fishery-wide level, estimated fishing mortality on scallops 90 mm or more was relatively low in the periods 1998–99 and 2004–12 (mean $F_{est} = 0.19 \text{ y}^{-1}$).
Other Abundance Indices	-
Trends in Other Relevant Indicator or Variables	-

Projections and Prognosis	
Stock Projections or Prognosis	Stock projections beyond the start of the 2012 season are not available. Catch, catch rates and growth are highly variable both within and among years. Recruitment is also highly variable between years.
Probability of Current Catch or TACC causing Biomass to remain below or to decline below Limits	Soft Limit: Unlikely (< 40%) Hard Limit: Unlikely (< 40%)
Probability of Current Catch or TACC causing Overfishing to continue or to commence	Very Unlikely (< 10%)

Assessment Methodology and Evaluation		
Assessment Type	Level 2 - Partial quantitative stock assessment	
Assessment Method	Biomass surveys and CAY estimate	
Assessment Dates	Latest assessment: 2012	Next assessment: Unknown
Overall Assessment Quality Rank	1 – High Quality	
Main data inputs (rank)	Biomass survey: 2012	1 – High Quality
Data not used (rank)	N/A	
Changes to Model Structure and Assumptions	None since the 2009 assessment.	
Major Sources of Uncertainty	<ul style="list-style-type: none"> - dredge efficiency during the survey - growth rates and natural mortality between the survey and the start of the season - predicting the average recovery of meatweight from greenweight - the extent to which dredging causes incidental mortality and affects recruitment 	

Qualifying Comments
In the Coromandel fishery some scallop beds are persistent and others are ephemeral. The extent to which the various beds or populations are reproductively or functionally separate is not known.
Fishery Interactions
A bycatch survey was conducted in the Coromandel fishery in 2009 under project SCA2007-01B. The results are summarised below. The bycatch of the fishery is likely to be similar to that of the survey.
Bycatch composition
Live components
<ul style="list-style-type: none"> • Scallops 26% • Seaweed 11% • Starfish 4% • Other bivalves 4% • Coralline turf 1%
Dead components
<ul style="list-style-type: none"> • Dead shell 45% • Rock and gravel 8%
Bycatch data were also collected during the 2010 and 2012 surveys of SCA CS; the data were loaded to the MPI database “scallop” for use in future work.

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